

### ACKNOWLEDGEMENT AND UNDERTAKING

#### TO: ALBERTA GAMING, LIQUOR AND CANNABIS (AGLC)

- 1. The licensee named below (hereinafter referred to as the "licensee") acknowledges its legal obligations under:
  - a) the Gaming, Liquor and Cannabis Act (GLCA), specifically (but not limited to) Sections 66(2), and 67(2);
  - b) the Gaming, Liquor and Cannabis Regulation (GLCR), specifically (but not limited to) Sections 80-85; and
  - c) the Licensee Handbook, and where applicable, Retail Liquor Store Handbook and General Merchandise Liquor Store Operating Guidelines.
- 2. The licensee acknowledges responsibility for ensuring that all staff employed by the licensee are aware of the requirements contained in this Acknowledgement and Undertaking and Appendices A and B.
- 3. Only liquor authorized by AGLC may be present in a licensed premises. A licensee who sells or possesses in his licensed premises liquor NOT authorized by AGLC will face disciplinary action and may also face prosecution.
- 4. The licensee acknowledges that all business conducted under a Class D Retail Liquor Store licence will be operated separately from any commonly owned or affiliated businesses as outlined in Section 3.1.1 of the Retail Liquor Store Handbook.
- 5. The licensee acknowledges that it is contrary to the GLCA, GLCR, and AGLC policy guidelines for a licensee or licensee staff to receive inducements ("benefits") from a liquor supplier or liquor agency, to carry the liquor supplier or liquor agency's product, give product exclusivity to the liquor supplier or liquor agency, or give preferential shelf position (in the case of Class D licensees).
  - Inducements include: money, free liquor, volume discounts, paid vacations, furniture, equipment, services (such as painting or decorating), items considered essential to the licensee's operation, staff incentives, paid entertainment, paid advertising, or any other thing prohibited under the GLCA, GLCR, or AGLC policy.
- 6. The licensee acknowledges that failure to follow the requirements of the GLCA, GLCR, and AGLC policy (includes handbooks and operating guidelines) may result in prosecution under the GLCA or other legislation, or appearance before the Board of AGLC.
- 7. This Acknowledgement and Undertaking is being made to AGLC to ensure that the requirements of the GLCA, the GLCR, and AGLC policy governing prohibited relationships between liquor suppliers or liquor agencies and licensees are fully supported by both retailers and the hospitality industry.
- 8. The licensee undertakes to advise AGLC each time a liquor supplier or liquor agency offers an inducement to the licensee, or to an employee of the licensee.

Licensee Signature	
(Please Print Name)	
Name of Licensed Premises	
Street Address	
Location (City, Town, Village)	

Public FORM RS/LIC 5203-1 (2022 Aug)



# APPENDIX A LISTING OF PROHIBITED INDUCEMENTS

The following describes monetary (or monetary value) inducements which liquor agencies or employees of liquor agencies, are prohibited from providing to licensees.

- 1. Payments, rebates or credits of any monetary value to licensees.
- 2. Deposits into licensee accounts in any direct or indirect manner.
- 3. Any liquor products other than nominal liquor product samples permitted under policy guidelines, "Product Promotions in Licensed Premises".
- 4. Interior decorating (e.g. painting, draperies, carpeting) and renovations or maintenance to the licensed premises or other property owned, rented, or leased by a licensee or anyone directly or indirectly involved with the licensee in a business relationship.
- 5. Furniture, equipment, signs, fixtures, or decorations normally required in the operation of a licensed premises.
- 6. All forms of entertainment (musical and other) that would normally be paid for by the licensee.
- 7. Refrigeration or dispensing equipment.
- 8. Underwriting licensees' expenses, either directly or indirectly, for any travel, whether or not it is for business purposes, a vacation, or a combination of both.
- 9. Seasons tickets to sporting or other events.
- 10. Full menu printing.

This is a summary only. A relationship between a liquor agency and licensee, or any product promotion, must comply with the GLCA, GLCR, or policy guidelines.

Any product promotion not specifically permitted is prohibited. For further information, contact the Regulatory Services Division, AGLC:

St. Albert Office	780-447-8600	Lathbridge Office	402 221 6500
Calgary Office	403-292-7300	Lethbridge Office Red Deer Office	403-331-6500 403-314-2656
Grande Prairie Office	780-832-3000	Red Deel Office	405-514-2050

References: Gaming, Liquor and Cannabis Act, Sections 62, 66 and 67

Gaming, Liquor and Cannabis Regulation, Sections 72-74, 80-85 and 87

Please retain Appendix A and Appendix B for your records.

Public FORM RS/LIC 5203-2, 5228-2 (2022 Aug)



# APPENDIX B PRODUCT PROMOTIONS OR SERVICES

The following are allowable product promotions or services which liquor agencies may provide to licensees. These are permitted under the *Gaming, Liquor and Cannabis Act* (GLCA), Gaming, Liquor and Cannabis Regulation (GLCR) or the policy guidelines titled "Product Promotions".

- 1. Liquor product samples as allowed under policy guidelines, "Product Promotions".
- 2. Non-essential merchandise which includes product brand or corporate name.

#### Examples:

Aprons for staff Flags, pennants, banners Quick pourers (speed spouts)

Ashtrays Hats for staff Serviettes/napkins
Bar towels Inflatables Serving trays

Bottle openers Lapel pins Signs (not identifying the licensee)
Change trays/tab trays Menu clips Special schedules on display for patrons

Champagne corkersMirrors/clocksT-shirtsCoastersPatio umbrellasTent cardsCorkscrewsPlace matsSweatshirtsDraught tap handlesPlastic glassesSwizzle sticks

Drip mats Posters

3. Other non-essential items sold to licensee at or above wholesale cost.

This is a summary only. A relationship between a liquor agency and licensee, or any product promotion, must comply with the GLCA, GLCR, or policy guidelines.

Any product promotion not specifically permitted is prohibited. For further information, contact the Regulatory Services Division, AGLC:

References: Gaming, Liquor and Cannabis Act, Sections 62, 66 and 67

Gaming, Liquor and Cannabis Regulation, Sections 72-74, 80-85 and 87

Public FORM RS/LIC 5203-3, 5228-3 (2022 Aug)